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## **Premia CSI Caixin China New Economy ETF**

(Stock Code: 3173 / 9173)

### **sub-fund of Premia ETF Series**

**(Each a Hong Kong unit trust authorized under Section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong)**

## Notice and Announcement

### Change to classification of the underlying index of Premia CSI Caixin China New Economy ETF (“Sub-Fund”), sub-fund of Premia ETF Series

Premia Partners, the Manager of Premia ETF Series, announces that the securities selection criteria for relevant new economy industries of the Index of the Sub-Fund will be changed from that of CSRC Classification to CSI Classification (more particularly defined hereinafter).

All capitalized terms used in this notice and announcement (but not defined) have the same meaning ascribed to them in Premia ETF Series’ Prospectus dated 23 July 2021, Addendum to the Prospectus dated 24 September 2021 and 2<sup>nd</sup> Addendum to the Prospectus dated 29 April 2022 (collectively the “**Prospectus**”), which is available at its website at [www.premia-partners.com](http://www.premia-partners.com) and the HKExnews website at [www.hkexnews.hk](http://www.hkexnews.hk).

#### Changes of Industry Classification to the underlying index of the Sub-Fund

Premia Partners has been informed by China Securities Index Co., Ltd. (“**CSI**”), the developer of the CSI Caixin Rayliant New Economic Engine Index (“**Index**”), that the CSI industry classification system (“**CSI Classification**”) would be adopted for the Index, the underlying index of the Sub-Fund, effective on 13 June 2022 (the “**Effective Date**”). Currently, industry classification of the Index follows the “Guidelines for the Industry Classifications of Listed Companies” issued by the China Securities Regulatory Commission (“**CSRC Classification**”).

The adoption of CSI Classification is to align with the mainstream practice of the CSI index family including their flagship index CSI300 for consistency. Compared to the CSRC Classification which categorize companies at inception of their initial listings, the CSI Classification is maintained by CSI with regular reviews and is considered to be a more appropriate approach for the selection of new economy industries for the Index.

For clarity, the relevant new economy related industries under the CSRC Classification would be mapped to the corresponding CSI Classification industries as follow:

- **Modern agriculture:** Animal Health & Breeding, Agrochemicals and other related industries;
- **Advanced manufacturing:** Integrated Circuits, Special Machinery, Communications Equipment and other related industries;
- **New energy activities:** Wind Power, Photovoltaic Power, Power Storage Equipment and other related industries;
- **Energy saving & environmental protection activities:** Energy Saving & Ecological Restoration, Pollution Control and other related industries;
- **Modern information technology services:** Software Development, IT Services, Data Center and other related industries;

- **Modern technology and innovation services:** Pharmaceutical & Biotechnology Services, Architectural Design & Services and other related industries;
- **Modern producer services:** Marketing & Advertising, Market Services and other related industries;
- **Modern consumer services:** Cultural Entertainment, Digital Media and other related industries;
- **Modern comprehensive management:** Power Grid, Municipal Environmental Sanitation and other related industries.

### **Benefits of adopting the CSI Classification**

The industry classification of the CSI Classification is the mainstream approach adopted by majority of the CSI indices including its flagship CSI300 Industry, CSI All Share Industries and CSI800 Industry Indices. It is maintained by CSI following its index governance standards with regular review processes to ensure relevance and consistency among the CSI index family. The adoption would eliminate the need to separately maintain the CSRC Classification for the Index.

### **Impact to the Sub-Fund**

For the avoidance of doubt, (i) the investment objective and policies of the Sub-Fund will remain unchanged; (ii) the adoption of the CSI Classification will not amount to a material change to the Sub-Fund; (iii) it will not affect the acceptability of the Index under the SFC's Code on Unit Trusts and Mutual Funds; (iv) the Sub-Fund's normal operation will not be disrupted; (v) there will be no material change or increase in the overall risk profile of the Sub-Fund; and (vi) the change to the Sub-Fund resulting from the adoption of the CSI Classification will not materially prejudice the interest of Unitholders.

There is no additional costs and/or expenses incurred in connection with the adoption of the CSI Classification, which will take place on the Effective Date per the usual securities rebalancing schedule of the Sub-Fund.

### **Amendments to the offering documents**

The Prospectus and the Product Key Facts Statement of the Sub-Fund will be updated to reflect the adoption of the CSI Classification, which will be available at the Sub-Fund's website at [www.premia-partners.com](http://www.premia-partners.com) and HKEx's website at [www.hkex.com.hk](http://www.hkex.com.hk) after the Effective Date when the relevant information is available from CSI.

If you have any queries in relation to this notice and announcement or Premia ETF Series, please contact us at 12/F Baskerville House, 13 Duddell Street, Central, Hong Kong, telephone number (852) 2950 5777, fax number (852) 2950 5700, or via email at [enquiries@premia-partners.com](mailto:enquiries@premia-partners.com).

**Premia Partners Company Limited  
as the Manager of Premia ETF Series**

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